



22883

Please type a plus sign (+) inside this box → ☒PTO/SB/21 (12-97)  
Approved for use through 8/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/604,784	
	Filing Date	June 26, 2000	
	First Named Inventor	Reza MAJIDI-AHY	
	Group Art Unit	Not Assigned	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	164.1010.01

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration by Inventor, and Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John C. Merchant Reg. No. 45,996
Signature	
Date	September 26, 2000

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date <u>September 26, 2000</u>	
Typed or printed name	Alette Malhas
Signature	
Date	September 28, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



22883

PATENT TRADEMARK OFFICE

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

410.00

## Complete if Known

Application Number	09/604,784
Filing Date	June 26, 2000
First Named Inventor	Reza MAJIDI-AHY
Examiner Name	Not Assigned
Group / Art Unit	Not Assigned
Attorney Docket No.	164.1010.01

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-0365

Deposit  
Account  
Name

Swernofsky Law Group

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	345
106 310	206 156	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

345

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**		X	
Independent Claims	3**	X	
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 180	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

65

## SUBMITTED BY

Name (Print/Type)

John C. Merchant

Registration No.  
(Attorney/Agent)

45,996

## Complete (if applicable)

Telephone

650-947-0700

Signature

Date

September 26, 2000

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

3007

## APERTO NETWORK, INC.

1637 S. MAIN ST. PH. 408-719-9977  
MILPITAS, CA 95035

DATE

8/23/00

90-4115/1211

PAY  
TO THE  
ORDER OFCommissioner of Patents + Trademarks  
Four hundred ten + 00/100\$ 410.<sup>00</sup>DOLLARS  Security Features  
Embossed  
Color Ink

VENTURE

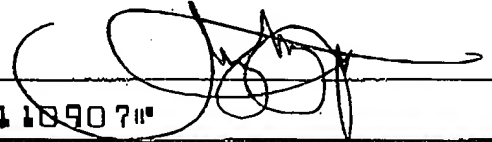
BANKING GROUP

FOR

Socket # 164.1010.01

⑈003007⑈ ⑈121141152⑈

003110907⑈



## SWERNOFSKY LAW GROUP

FILE COPY

4970 EL CAMINO REAL  
SUITE 210  
LOS ALTOS, CA 94022

TELEPHONE (650) 947-0700  
FACSIMILE (650) 947-8438  
law.office@swernofsky.com

January 2, 2001

VIA FACSIMILE 703-308-5077

ATTORNEY-CLIENT  
CONFIDENTIAL  
COMMUNICATION

Refund Division  
United States Patent & Trademark Office

Re: Refund Request

Dear Refund Division:

It is hereby respectfully requested that Deposit Account #50-0395 be refunded the following charges listed on the attached Monthly Statement dated 10/31/00:

Serial No.	Docket No.	Fee Code	Charge
09604784	164.1010.01	205	\$10.00
09604936	159.1005.01	205	\$10.00
09602046	149.1001.02	205	\$12.00
09617523	177.1001.01	205	\$10.00

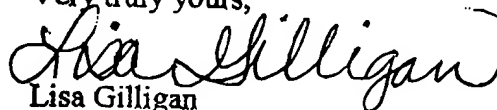
TOTAL REFUND \$42.00

In each of the cases listed above, the appropriate Surcharge (Fee Code 205) was paid by check with the response to Missing Parts. Please see the attached documentation of payment.

Please feel free to call me at 650-947-0700 ext. 305 if you have any questions.

Adjustment date: 01/16/2001 NVILLARI  
10/12/2000 MYUSUF1 00000016 09604784  
01 FC:201 -355.00 OP

Very truly yours,



Lisa Gilligan  
Paralegal

[I am an authorized user of the Deposit acct]

01/16/2001 NVILLARI 00000024 09604784  
01 FC:201 345.00 OP  
Enclosures

Repln. Ref: 01/16/2001 NVILLARI 0011131900  
DA#:500395 Name/Number:09604784  
FC: 704 \$10.00 CR

Ser#09604936

**PATENT (NON-APPLICATION) POSTCARD**

This confirms receipt of the following papers at the USPTO:

Docket No: 159.1005.01

Serial No: 09/604,936 Filing Date: June 27, 2000

Title of Inv: Reverse Auctions in a Networking Environment

First Named Inventor: Daniel WILTSHIRE

**PAPERS FILED:**☒ Transmittal Form SB/21☒ Fee Transmittal Form SB/17 (2 copies) -- Check no. 1394 \$563.00☒ Notice to File Missing Parts of Application (copy)☒ Declaration or Oath ☒ Power of Atty ☐ Combined Decl/Power of Atty☐ Small Entity Statement☐ Assignment(s) ☐ Recordation Cov Sht ☒ 37 CFR 3.73(b) Statement☐ Information Disclosure Statement with Form 1449 References☐ Request for Corrected Filing Receipt☐ Amendment/OA Response☐ Notice of Appeal ☐ Appeal Brief☐ Petition for Extension of Time☐ Issue Fee Transmittal (2 copies)☐ Formal Drawings \_\_\_\_\_ sheets☒ OTHER Return Postcard

Attorney: John C. Merchant PTO Customer No: 022883

Mailed By: ACM Mailing Date: September 28, 2000

**PATENT (NON-APPLICATION) POSTCARD**

This confirms receipt of the following papers at the USPTO:

Docket No: 159.1005.01

Serial No: 09/604,936 Filing Date: June 27, 2000

Title of Inv: Reverse Auctions in a Networking Environment

First Named Inventor: Daniel WILTSHIRE

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Attorney: John C. Merchant PTO Customer No: 022883

Mailed By: ACM Mailing Date: September 28, 2000

Necessary,  
Paid By:

w Group

0013

94039-0013

Received  
by PTO

**ATTENTION ATTENTION ATTENTION**

Date Processed: 01-05-01 **FILE COPY**

O I P E  
2600

☐

**ACH Payment**

Attached Is The Most Current ACH Information

2463

☐

**Treasury Check**

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund:

**A Check Will Be Issued.**

RECEIVED  
JAN 24 2001  
Technology Center 260

RECEIVED  
JAN 19 2001  
TECHNOLOGY CENTER 1700

☒

**Credit To Deposit Account**

This Request for Refund Will Be Processed By Crediting Deposit  
Account Number 50-0395, If Customer Is Due A Refund.

09/604784 HC

**ATTENTION ATTENTION ATTENTION**